

4/11/02

Patent

Attorney's Docket No. 019970-005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REQUEST FOR FILING CONTINUATION/DIVISIONAL
APPLICATION UNDER 37 C.F.R. § 1.53(b)

Box PATENT APPLICATION

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This is a request for filing a [x] continuation [] divisional application under 37 C.F.R. § 1.53(b) of pending Application No. 09/308,383 filed on July 1, 1999, for WATER-BASED LUBRICANT CONTAINING SULFUR AS A COORDINATE ATOM, AND USE THEREOF, by the following named inventor(s):

- | | | |
|-----|-----------|---------------------|
| (a) | Full Name | Heijiro OJIMA |
| (b) | Full Name | Masahiko TAKEUCHI |
| (c) | Full Name | Fumio IKESUE |
| (d) | Full Name | Noritoshi KASHIMURA |
| (e) | Full Name | Fumio KAWAHARA |
| (f) | Full Name | Mitsuru TOMONO |

- [] Applicant(s) hereby requests that the above-captioned application **NOT BE PUBLISHED** under 35 U.S.C. § 122(b) and 37 C.F.R. § 1.211. The undersigned hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.
- [x] The entire disclosure of the prior application from which a copy of the oath or declaration is supplied herewith is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- [] This application is being filed by less than all the inventors named in the prior application. In accordance with 37 C.F.R. 1.63(d)(2), the Commissioner is requested to delete the name(s) of the following person or persons who are not inventors of the invention being claimed in this application.

- | | | |
|-----|-----------|-------|
| (a) | Full Name | _____ |
| (b) | Full Name | _____ |
| (c) | Full Name | _____ |

- [] This application is being filed by more than all the inventors named in the prior application. In accordance with 37 C.F.R. 1.63(d)(5), a new oath or declaration is enclosed, and the Commissioner is requested to add the name(s) of the following person or persons who are inventors of the invention being claimed in this application.



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11/19/01
J1133 U.S. PRO

J0996 U.S. PRO
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09988401-111901

(a) Full Name

(b) Full Name

(c) Full Name

- [] Applicant(s) suggests Figure _ for inclusion on the front page of the patent application publication and/or patent.

1. [x] Enclosed is a copy of the prior Application No. 09/308,383 as originally filed on July 1, 1999, including copies of the specification, claims and the executed oath or declaration as filed.

2. [] Enclosed is a revised prior application and a copy of the prior executed oath or declaration as filed. No new matter has been added to the revised application.

3. ☐ Small entity status is hereby claimed.

4. [x] The filing fee is calculated below [] and in accordance with the enclosed preliminary amendment:

CLAIMS

	NO. OF CLAIMS		EXTRA CLAIMS	RATE	FEE
Basic Application Fee					\$740.00 (101)
Total Claims	8	MINUS 20 =	0	× \$18.00 (103) =	-0-
Independent Claims	1	MINUS 3 =	0	× \$84.00 (102) =	-0-
If multiple dependent claims are presented, add \$280.00 (104)					-0-
Total Application Fee					\$740.00
If small entity status is claimed, subtract 50% of Total Application Fee					-0-
Add Assignment Recording Fee of \$_ if Assignment document is enclosed					-0-
TOTAL APPLICATION FEE DUE					\$740.00

5. ☐ Charge \$ _____ to Deposit Account No. 02-4800 for the fee due.

6. ☐ A check in the amount of \$ _____ is enclosed for the fee due.



7. ☒ **The present application is being filed without any fee. Issuance of a Notice to File Missing Parts of Application is respectfully requested.**
8. ☒ Cancel in this application original claims 2-8 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
9. ☐ New drawings are enclosed.
10. ☒ Priority of Application No. 8-306910 filed on November 18, 1996 in Japan (country) is claimed under 35 U.S.C. § 119.

☒ The certified copy of the priority application

☐ is enclosed.

☒ was filed in prior Application No. 09/308,383, filed on July 1, 1999, and acknowledged by the Examiner on November 3, 2000 in Paper No. 8.

☐ has not yet been filed.

11. ☒ Please amend the specification by inserting before the first line the sentence:

☐ --This application is a ☐ continuation ☐ divisional of Application No. __, filed on __.--

☒ --This application is a ☒ continuation ☐ divisional of U.S. Application No. 09/308,383, filed on July 1, 1999, which was a national stage filing under 35 U.S.C. § 371 of International Application No. PCT/JP97/04197 filed on November 18, 1997, which International Application ☒ was ☐ was not published by the International Bureau on May 28, 1998. f.

☐ --This application is a ☐ continuation ☐ divisional of U.S. Application No. __, filed on __, which was a continuation of International Application No. __, filed on __, which International Application ☐ was ☐ was not published by the International Bureau in English on __.--

12. ☐ A preliminary amendment is enclosed.
13. ☐ An Information Disclosure Statement is enclosed.
14. ☐ A General Authorization for Payment of Fees and Petitions for Extensions of Time is enclosed.
15. ☐ Also enclosed __.
16. ☒ The power of attorney in the prior application is to the partners of Burns, Doane,



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Swecker and Mathis, L.L.P.

- a. ☒ The power appears in the original papers in the prior application.
- b. ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- c. ☒ Recognize as Associate Attorney and Agent, respectively, Jonathan D. Baskin, Reg. No. 39,499, and Roger H. Lee, Reg. No. 46,317.
- d. ☒ Address all future communications to: (May only be completed by applicant, or attorney or agent of record.)

Platon N. Mandros
BURNS, DOANE, SWECKER & MATHIS, L.L.P.
P.O. Box 1404
Alexandria, Virginia 22313-1404

Date: November 19, 2001

By: Roger H. Lee, Reg. No. 46,317,
For: Platon N. Mandros
Registration No. 22,124

ADDRESS OF SIGNATOR:

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- ☐ inventor(s)
- ☐ assignee of complete interest
- ☒ attorney or agent of record
- ☐ filed under 37 C.F.R. § 1.34(a)



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